



APPLICANT REV 7-80 ASSIMILATE OF FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY DOCKET NO MXI-166 APPLICANT Yashwant M. Deo et al. FILING DATE May 8, 2001	SERIAL NO. 09/851,614 GROUP 1638
--	--	---

LIST OF PUBLICATIONS CITED BY APPLICANT
(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
A1	4,474,893	10/84	Reading	436	547	
A2	4,946,778	08/90	Ladner et al.	435	69.6	
A3	4,954,617	09/90	Fanger et al.	530	387	
A4	5,455,030	10/95	Ladner et al.	424	435.1	
A5	5,476,386	12/95	Huston	435	252.33	
A6	5,545,807	08/96	Surani et al.	800	2	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
A7		WO 86/01533 A1	03/86	PCT			
A8		WO 88/00052 A1	01/88	PCT			
A9		WO 92/03918 A1	03/92	PCT			
A10		WO 94/10332 A1	05/94	PCT			
A11		WO 98/24884 A1	06/98	PCT			

OTHERS (including Author, Title, Date, Pertinent Pages, Etc.)

A12	Bird et al. (1988) Single-chain antigen-binding proteins <i>Science</i> 242:423-426
A13	Brennan et al. (1985) Preparation of bispecific antibodies by chemical recombination of monoclonal immunoglobulin G1 fragments <i>Science</i> 239:81-83
A14	Calte et al. (1997) Antibodies to major histocompatibility antigens produced by hybrid cell lines. <i>Nature</i> 386:550-552
A15	Glennie et al. (1987) Preparation and performance of bispecific F(ab' gamma)2 antibody containing thioether-linked Fab' gamma fragments <i>J. Immunol.</i> 139: 2367-2375
A16	Karpovsky et al. (1984) Production of target-specific effector cells using hetero-cross-linked aggregates containing anti-target cell and anti-Fc gamma receptor antibodies <i>J. Exp. Med.</i> 160:1686-01
A17	Liu et al. (1985) Heteroantibody duplexes target cells for lysis by cytotoxic T lymphocytes <i>Proc. Natl. Acad. Sci. USA</i> 82:8648
A18	Lonberg et al. 1994. Antigen-specific human antibodies from mice comprising four distinct genetic modifications <i>Nature</i> 368(6474): 856-859
A19	Monteiro et al., (1992) Molecular heterogeneity of Fc alpha receptors detected by receptor-specific monoclonal antibodies <i>J. Immunol.</i> 148:1764-70
A20	Paulus (1985) Preparation and biomedical applications of bispecific antibodies <i>Behring Ins. Mitt.</i> No. 78, 118-132
A21	Ward et al. (1989) Binding activities of a repertoire of single immunoglobulin variable domains secreted from <i>Escherichia coli</i> <i>Nature</i> 341:544-6

Examiner _____ Date Considered _____

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

1

of

1

Complete if Known	
Application Number	09/851614
Filing Date	May 8, 2001
First Named Inventor	Deo, Yashwant M.
Art Unit	1644
Examiner Name	Ewoldt, Gerald R.
Attorney Docket Number	MXI-166

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 801.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
<i>Deo</i>	D1	Apostolopoulos, V. et al. "Ex vivo targeting of the macrophage mannose receptor generates anti-tumor CTL responses." <i>Vaccine</i> 18(27):3174-184 (2000).	
	D2	Wallace, P.K. et al. "Exogenous antigen targeted to FcγRI on myeloid cells is presented in association with MHC class I." <i>J. Immunol. Methods</i> 248 (1-2):183-94 (2001).	
	D3	Nouri-Shirazi, M. et al. "Dendritic cells capture killed tumor cells and present their antigens to elicit tumor-specific immune responses." <i>J. Immunol.</i> 165:3797-3803 (2000).	
	D4	You, Z. et al. "Targeting dendritic cells to enhance DNA vaccine potency." <i>Cancer Res.</i> 61:3704-3711 (2001).	
	D5	Berard, F. et al. "Cross-priming of naïve CD8 T cells against melanoma antigens using dendritic cells loaded with killed allogeneic melanoma cells." <i>J. Exp. Med.</i> 192(11):1535-43 (2000).	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature	<i>Deo, Yashwant M.</i>	Date Considered
--------------------	-------------------------	-----------------

APPLICANT FACSIMILE OF FORM PTO-1449	REV 7-80	COMMERCE PATENT AND TRADEMARK OFFICE	SERIAL NO.
LIST OF PUBLICATIONS CITED BY APPLICANT (Use several sheets if necessary)		MXI-166	09/851614
		APPLICANT	
		Deo, Yashwant M. et al.	
FILING DATE		GROUP	
May 8, 2001		1638	

U.S. PATENT DOCUMENTS

FILER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	C1 5,876,917	03/99	Hart	435	2	
	82 5,922,845	07/99	Deo et al.	530	387.3	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	C3	WO 95/15340 A1	06/95	PCT				
	C4	WO 98/15579 A1	04/98	PCT				
	C5	WO 99/55369 A1	11/99	PCT				
	C6	WO 00/63251 A1	10/00	PCT				

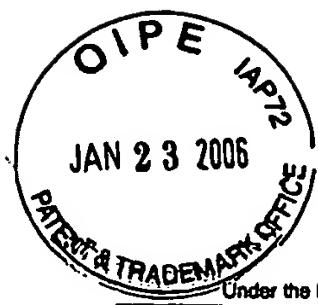
OTHERS (including Author, Title, Date, Pertinent Pages, Etc.)

Examiner

Date Considered

***EXAMINER:**

Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



PTO/SB/08a/b (07-05)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/B/PTO				Complete If Known	
				Application Number	09/851614-Conf. #4957
				Filing Date	May 8, 2001
				First Named Inventor	Yashwant M. DEO
				Art Unit	1644
				Examiner Name	G. R. Ewoldt
Sheet	1	of	1	Attorney Docket Number	CDJ-166RCE

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
GR	A1*	US-20020187131-A1	12-12-2002	Hawiger et al.	
FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)			
					T ⁶

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ CITE NO.: Those application(s) which are marked with an single asterisk () next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. ² Applicant's unique citation designation number (optional). ³ See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ⁴ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁵ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁶ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T ²

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature	<i>GR</i>	3/16/08	Date Considered
--------------------	-----------	---------	-----------------

APPLICANT FACSIMILE OF FORM PTO-1449 REV 7-80	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY DOCKET NO MXI-166	SERIAL NO. 09/851,614
LIST OF PUBLICATIONS CITED BY APPLICANT (Use several sheets if necessary)		APPLICANT Yashwant M. Deo et al.	
		FILING DATE May 8, 2001	GROUP 1638

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES NO
	B1	WO 93/12227 A1	06/93	PCT			
	B2	WO 01/25492 A1	04/01	PCT			

OTHERS (including Author, Title, Date, Pertinent Pages, Etc.)

Examiner

Date Considered

***EXAMINER**

Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.